

Heartland Cash and Term PIE fund Individuals

All accounts and services are provided by Heartland Bank Limited (**Heartland Bank**).

By completing and signing this application form, you acknowledge that you have received and read:

- Heartland Bank Limited's current Account and Service General Terms and Conditions; and
- the Heartland Cash and Term PIE Fund Product Fact Sheet (as applicable);

and agree they will be binding on you.

A copy of these documents and Heartland Bank's latest Disclosure Statement can be obtained from our Investment Team on 0800 85 20 20 or at www.heartland.co.nz.

Individual Joint Child (<16 years) Other (please specify) _____

Please state why you are opening this account and how you intend to fund it

Primary account holder (Overseas residents must provide a physical overseas address)

Existing customer – My customer number is _____ Occupation _____

First name(s) in full _____ Date of birth _____

Surname _____ Preferred name _____ Country of birth _____

If your country of birth, citizenship, residency and tax residency are all NZ please tick and move to the next section

Countries you have residency or citizenship _____ Countries you are tax resident in _____

If any overseas tax residencies, a self-certification form must be completed

Contact details

Postal address _____

Suburb _____ City or town _____ Postcode _____

Physical address (if different from above) _____

Suburb _____ City or town _____ Postcode _____

Email address _____
Please note that, by providing an email address, you consent to receiving communications in electronic form

Ph (hm) () _____ Ph (wk) () _____ Mob () _____

Tax details

Tax Identification Number _____

Please note this is a Mandatory field and you are required to provide your IRD number within six weeks of opening the account in order to retain your investment.

NZ IRD Number or country of tax residency _____

Please indicate your prescribed Investor Rate (PIR) below.

0% 10.5% 17.5% 28.0%

Additional Tax Identification Number _____

Please note for non-residents: We are required to deduct PIE tax at the default rate of 28%.

Additional country of tax residency _____

Joint account holder (Overseas residents must provide a physical overseas address)

Existing customer – My customer number is _____ Occupation _____

First name(s) in full _____ Date of birth _____

Surname _____ Preferred name _____ Country of birth _____

If your country of birth, citizenship, residency and tax residency are all NZ please tick and move to the next section

Countries you have residency or citizenship _____ Countries you are tax resident in _____

If any overseas tax residencies, a self-certification form must be completed

Contact details

Postal address _____

Suburb _____ City or town _____ Postcode _____

Physical address (if different from above) _____

Suburb _____ City or town _____ Postcode _____

Email address _____
Please note that, by providing an email address, you consent to receiving communications in electronic form

Ph (hm) () _____ Ph (wk) () _____ Mob () _____

Tax details

Tax Identification Number _____

Please note this is a Mandatory field and you are required to provide your IRD number within six weeks of opening the account in order to retain your investment.

NZ IRD Number or country of tax residency _____

For joint account holders, we will use the higher of the two PIRs given below. If you are unsure how to determine your PIR you can determine this at the IRD website www.ird.govt.nz

Please indicate your prescribed Investor Rate (PIR) below.

0% 10.5% 17.5% 28.0%

Additional Tax Identification Number _____

Please note for non-residents: We are required to deduct PIE tax at the default rate of 28%.

Additional country of tax residency _____

Investment information

I would like to invest \$ _____ at _____ % p.a. for _____ Months in Units to be held in a Heartland Term PIE Account
Minimum investment \$1,000. Maximum investment \$5,000,000.
Or _____ in Units to be held in a Heartland Cash PIE Account
Option 1 Option 2

Bank account details

Nominated account for interest payments and withdrawals:

Account name _____ Bank _____ Branch _____ Account _____ Suffix _____

Term PIE Account – income options (Please tick one box to show how you would like to receive your income)

Quarterly compounding (on the last days of March, June, September, December) Quarterly direct credit (on the last days of March, June, September and December to bank account detailed in the Bank Account Details section below)

Paying your investment amount

Direct Credit – Bank account for Heartland Cash and Term PIE Fund: 03-1783-0500515-00

Signing rules

Anyone to sign by themselves All signatories must sign At least _____ must sign Other (Please specify) _____

Note: For clubs, charities and not-for-profit organisations at least 2 signatories must sign.

Operator details (if different from Primary or Joint Account Holder)

Date of birth		

Signature		

First name(s) in full	Surname	
_____	_____	
Physical address		

Ph (hm) ()	Ph (wk) ()	Mob ()
_____	_____	_____
Email address		Occupation
_____		_____
Countries you have residency or citizenship		Countries you are tax resident in
_____		_____
<small>If any overseas tax residencies, a self-certification form must be completed</small>		

Tax details

Tax Identification Number

NZ IRD Number <input type="checkbox"/>
or country of tax residency

Additional Tax Identification Number

Additional country of tax residency

Operator details (if different from Primary or Joint Account Holder)

Date of birth		

Signature		

First name(s) in full	Surname	
_____	_____	
Physical address		

Ph (hm) ()	Ph (wk) ()	Mob ()
_____	_____	_____
Email address		Occupation
_____		_____
Countries you have residency or citizenship		Countries you are tax resident in
_____		_____
<small>If any overseas tax residencies, a self-certification form must be completed</small>		

Tax details

Tax Identification Number

NZ IRD Number <input type="checkbox"/>
or country of tax residency

Additional Tax Identification Number

Additional country of tax residency

Mobile app access

Please tick if you would like access to the Heartland Mobile App

How did you hear about us?

<input type="checkbox"/> Online/digital/social media e.g. interest.co.nz, Facebook, Google, outdoor advertising (please specify) _____	<input type="checkbox"/> Newspaper (please specify) _____
<input type="checkbox"/> Radio	<input type="checkbox"/> Branch
<input type="checkbox"/> Word of mouth	<input type="checkbox"/> Other (please specify) _____
<input type="checkbox"/> Club or event (please specify) _____	

Further information If you have any additional comments or further information please add here:

Privacy– In this declaration, “Heartland”, “we” or “us” means Heartland Bank Limited, and its related entities, successors, assigns, agents and associates, and “you” means the person completing this application and each other person named in this application. Heartland is collecting information about you in accordance with the Privacy Act 2020 and our Privacy Statement, and we may not be able to provide you with products or services if you do not provide that information. That information may be used by us to consider this application for an account or service, including to establish and verify your identity and to assess your creditworthiness and financial position from time to time, and any future application for products or services which involves you. We can also use it to administer and monitor products or services provided to you, to comply with legal and regulatory requirements (e.g. identity verification requirements and tax reporting), to provide you with information about other products or services, including those of selected third parties, generally to develop and run our business, and as otherwise described in our Privacy Statement. You agree that – for those purposes – we can provide information about you to, and obtain information about you from, other organisations or people we consider appropriate. Those organisations might include our service providers, other financial and insurance institutions, government departments, your employer or accountant, third parties for the purposes of fraud prevention, identity verification, and any other purpose relevant to those purposes (those third parties may retain information and use it for identity verification and fraud detection purposes), and other appropriate persons. We may also exchange information about you (including default information) with credit reporting agencies on an ongoing basis. Those agencies may retain that information and provide it to other customers who use their credit reporting services. You have rights to access and request correction of your personal information under the Privacy Act 2020. You can do so by contacting us using the details provided on heartland.co.nz/contact-us..

By proceeding, you confirm that:

- each person named in this application form has read and agrees to the terms above;
- all information provided to us is correct, complete and not misleading; and
- none of those people is an un-discharged bankrupt.

Signed by the account holder or on behalf of

Name	_____
Signature	_____
Date	_____

Signed by the account holder or on behalf of

Name	_____
Signature	_____
Date	_____

Bank use only: opened by: _____	Verified by: _____
CDD checklist completed <input type="checkbox"/>	Originator: _____
Self-certification form completed (if applicable) <input type="checkbox"/>	
* Account number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Account number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
* Account manager: _____	Cost centre: _____